

FORDHAM FINANCIAL MANAGEMENT, INC.

Notification To Sufficiently Knowledgeable Customers

**Under Paragraph 33 of the Financial Services and Markets Act
2000 (Financial Promotion) Order 2005**

The purpose of this notice is to inform you that, while Fordham Financial Management, Inc., serving investors since 1987, is registered with the US Securities and Exchange Commission (the "SEC") and is a member of the Financial Industry Regulatory Authority ("FINRA"), subject to the rules and regulations of both of these regulatory agencies**, in relation to investment services offered by Fordham Financial Management, Inc.:

- (a) The protections conferred by or under the Financial Services and Markets Act 2000 ("the Act") will not apply to any unsolicited real time communication which is made by us and which relates to that activity;
- (b) The protections conferred by or under the Act may not apply to any investment activity that may be engaged in as a result of the communication; and
- (c) Any transaction between us and you resulting from the communication will not fall within the jurisdiction of any dispute resolution scheme or compensation scheme of the FSA.

Please consider this notification carefully and, once you have done so, please return it to us with the endorsement below signed by you to indicate that you understand and accept the notifications given at (a) and (b) above.

Yours faithfully,
Fordham Financial Management, Inc

Acknowledged, understood, and accepted:

Signature

Date: _____

Print Name

****The protections conferred by or under United States Federal law and SEC and FINRA regulation apply to all investors, both domestic and foreign.**