



A subsidiary of Axos Financial™

General Inquiries: (402) 384-6100 Settlement Inquiries: (402) 384-6110
ACAT Inquiries: (402) 384-2050 Mutual Fund Inquiries (402) 384-2047

DTCC Eligible Security deliveries to: DTCC Broker 0052
FBO - client name and Axos Clearing Account Number

DTCC Envelope System deliveries to: NSCC 0052
FBO - client name and Axos Clearing Account Number

Canadian Securities deliveries to: Northern Trust Custodian
For Canadian settlement:
CUID: NTCT A/C 1737168
For other local market settlement: Please send an e-mail to Foreign@axosclearing.com or call 402-384-6110 to obtain specific SSIs.

Euroclear Instructions: Euroclear # 18724 BIC Swift OOCUS41
Notification is required for all trade settlement or free deliveries. Please send an e-mail to Foreign@axosclearing.com or call 402-384-6110.

Option Free Deliveries to: OCC Axos Clearing #052 – For Account Transfers please call 402-384-2050 to coordinate delivery

Liquidation Checks for: Non-retirement or Retirement
Make check payable to: Axos Clearing
FBO - client name and Axos Clearing Account Number
Mail check direct to: 1200 Landmark Center, Suite 800
Omaha, NE 68102

Mutual Fund deliveries for Non-ACAT, Network Eligible:
Axos Clearing
FBO Bin # (Client number)
1200 Landmark Center, Suite 800
Omaha, NE 68102
Axos Clearing holds both Level 3 and Omnibus positions. Axos Clearing will need the cusip and the Axos Clearing Account Number for the incoming transfer in order to provide fund account numbers. Please send an e-mail to the Mutual Fund department via WAM@axosclearing.com or call 402-384-2047 for account information.

Book Entry Government deliveries to: ABA 071000288
(Bills, Notes, Bonds, BMO Harris Bank NA/1040/1013029446
FBO Axos Clearing Account Number
Strips, FNMA, GNMA, FHLMC etc.)
GSCC Comparison Only: 9686

Limited Partnership DPP or REIT deliveries:
Reregister to IRA's ONLY: AXOS CLEARING CUST
FBO: Client Name and Axos Clearing account number
1200 Landmark Center, Suite 800
Omaha, NE 68102

Physical Security deposits mail to: Axos Clearing
1200 Landmark Center, Suite 800
Omaha, NE 68102

Money Wire Instructions BMO Harris Bank
ABA #071000288
Swift: HATRUS44
111 West Monroe Street
Chicago IL 60690
Account Name – Axos Clearing
Acct #3174109
FBO – Client name and Account Number

Prime Broker ID Institution #94321 Agent ID# 94321
Executing Broker ID Institution #80155 Agent ID# 80155
Please send Prime Broker inquiries to Prime@axosclearing.com

DVP Trade ID Institution #89751 Agent ID# 89751
Please send DVP inquiries to NewDVP@axosclearing.com